

Form PTO-1449 (modified)

Atty. Docket No. 47171-00262USC2

Serial No. 10/027,449

List of Patents and Publications for Applicant's

Applicant Richard A. Mazur et al.

Filing Date: December 21, 2001

Group: 3652

5TH INFORMATION DISCLOSURE STATEMENT

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Party #16

U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.

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Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
<i>W/B</i>	C106	Declaration of Toshio Numata dated November 16, 2002 (7 pages)

EXAMINER: *[Signature]* DATE CONSIDERED: *8/16/03*

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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List of Patents and Publications for Applicant's SIXTH INFORMATION DISCLOSURE STATEMENT	Applicant Richard A. Mazur et al.	
JUN 24 2003 Page 4 of 5	Filing Date: December 21, 2001	Group: 3652

TRADEMARK	JP 64-064090	03/89	Japan		Abstract Only
B22	WO 81/01211	04/81	International (PCT)		N/A
B23	WO 97/30422	02/97	International (PCT)		N/A

Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
<i>ms</i>	C1	Billcon: 38 th Banking Uniform Show (1 page) and translation (2 pages) (1998)
<i>ms</i>	C2	Billcon: D212 Note Counter, 4 pages – Japanese and Translation
	C3	Billcon: D212 – Odd Bill Detecting Bill Counter - Instruction Manual, 17 pages, Japanese and translation (page 17 dated 1994)
	C4	De La Rue Systems: 2300 – Operating Instruction Manual, 3 pages (© 1986)
	C5	De La Rue: 2700 Currency Counting Machine – User Guide, Revision 1, 53 pages (8/26/99)
	C6	De La Rue Systems: 2700VB brochure, 1 page (12/9/96)
	C7	De La Rue Systems Limited Use Of The Diagnostics Function 3110 Mk.II/312D Machines 10 pages (printed 01/30/03)
	C8	De La Rue Systems: 3100 Series Operator Instruction Manual, (52 pages) printed 01/20/2003
	C9	De La Rue Systems U.S. Dollar Sorter: 3110 MK II International Currency Sorting Machine – User Guide, 2 pages (1987)
	C10	De La Rue Systems Currency Sorting Machines With Pattern Recognition: 3120 User Guide, 2 pages (1987)
	C11	Geldinstitute (1983) (2 pages) (printed 1/24/03)
	C12	Geldinstitute (1983) (translation of above) (2003) – 3 pages
	C13	G&D: CHP 50 User's Guide, 61 pages (3/98)
	C14	Glory: GFB 700 Bank Note Counting Machine – Operating Instructions, 32 pages (9/98)
	C15	Glory: GFR 100 and GFB 700 - Tank Tough Currency Discriminators brochure, 2 pages (8/6/98)
	C16	Glory: GSA-500 – Instruction Manual, 40 pages (prior to 7/98)
	C17	Glory: GSA-500 – Operating Procedures, 9 pages (prior to 7/98)
<i>ms</i>	C18	JCM: BC-30 Bill Counter, 2 pages (date unknown, prior to 8/02)

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List of Patents and Publications for Applicant's SIXTH INFORMATION DISCLOSURE STATEMENT JUN 24 2003 Page 5 of 5	Applicant Richard A. Mazur et al.	
	Filing Date: December 21, 2001	Group: 3652

W3	C19	JCM: DBC-3 U.S. Dollar Bank Note Checker, 2 pages (date unknown, prior to 8/02)
W3	C20	Toshiba: CF-400 Series Fitness Sorter, 6 pages (estimated 1989 or earlier)
W3	C21	Mosler/Toshiba: Model CS-6600 Currency Handler brochure- "Let Mosler Help You Cut Costs Four Ways," 4 pages (© 1993)
	C22	Musashi Co.: New Generation tellac-5 Series Compact Currency Counter, 2 pages (1988)
W3	C23	Musashi Co.: Operation Manual for Maintenance and Learning Modes for Tellac-5, 5DD, SD, DDA, A & SSD, 13 pages (prior to 08/02)
	C24	Japanese Reference X and Statement of Relevance - 11 pages
	C25	Translation of DE 2659929
W3	C26	Translation of DE 2760269
W3	C27	Translation of DE 2935668
W3	C28	Translation of EP 0077464 A2
	C29	Translation of EP 0342647 A2
W3	C30	Translation of JP 2-12492
W3	C31	Translation of JP 54-60999
W3	C32	Translation of JP 55-52538
	C33	Translation of JP 56-16287
W3	C34	Translation of JP 62-220843
	C35	Translation of JP 63-271687
W3	C36	Translation of JP 63-276688
	C37	Translation of JP 60-215293

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EXAMINER: <i>W3</i>	DATE CONSIDERED: 8/16/03
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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

List of Patents and Publications for Applicant's

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Filing Date:
December 21, 2001

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U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
mys	A1	US2001-0019624A1	09/01	Rateman et al.	382	135	
	A2	US2001-0035603A1	11/01	Graves et al.	271	265.01	
	A3	US2002-0001393A1	01/02	Jones et al.	382	100	
	A4	US2002-0020603A1	02/02	Jones et al.	194	346	
	A5	US2002-0056605A1	05/02	Mazur et al.	194	207	
	A6	US2002-0085245A1	07/02	Mennie et al.	358	498	
	A7	US2002-0085745A1	07/02	Jones et al.	382	135	
	A8	US2002-0103757A1	08/02	Jones et al.	705	45	
	A9	US2002-0104785A1	08/02	Klein et al.	209	534	
	A10	US2002-0107801A1	08/02	Jones et al.	705	45	
	A11	US2002-0118871A1	08/02	Jones et al.	382	137	
	A12	US2002-0122580A1	09/02	Jones et al.	382	137	
	A13	US2002-0126885A1	9/02	Mennie et al.	382	135	
	A14	US2002-0126886A1	09/02	Jones et al.	382	135	
	A15	US2002-0131630A1	09/19/02	Jones et al.	382	137	
	A16	US2002-0136442A1	09/26/02	Jones et al.	382	135	
	A17	US2002-0145035A1	10/10/02	Jones	235	379	
	A18	US2002-0154804A1	10/24/02	Jones et al.	382	135	
	A19	US2002-0154805A1	10/24/02	Jones et al.	382	135	
	A20	US2002-0154806A1	10/24/02	Jones et al.	382	135	
	A21	US2002-0154807A1	10/24/02	Jones et al.	382	135	
	A22	US2002-0154808A1	10/24/02	Jones et al.	382	135	
	A23	US2002-0186876A1	12/12/02	Jones et al.	382	135	
	A24	US2003-0009420A1	01/09/03	Jones	705	39	
	A25	US2003-0015395A1	01/23/03	Hallowell et al.	194	206	
mys	A26	US2003-0015396A1	01/23/03	Mennie	194	206	

EXAMINER: *my*

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List of Patents and Publications for Applicant's SIXTH INFORMATION DISCLOSURE STATEMENT Page 2 of 5	Applicant Richard A. Mazur et al.	
	Filing Date: December 21, 2001	Group: 3652

	A27	US2003-0062242A1	04/03/03	Hallowell et al.	194	302	
	A28	US2003-0081824A1	05/01/03	Mennie et al.	382	135	
	A29	2,922,893	01/26/60	Ett	250	219	
	A30	3,487,905	01/06/70	James Sr.	194	4	
	A31	3,635,321	01/18/72	Frazier Sr.	194	4	
	A32	4,749,076	06/07/88	Akagawa et al.	194	207	
	A33	4,787,518	11/29/88	Yuge et al.	209	534	
	A34	5,051,900	09/24/91	Ito et al.	364	408	
	A35	5,076,441	12/31/91	Gerlier	209	534	
	A36	5,230,653	07/27/93	Shinozaki et al.	453	4	
	A37	5,577,589	11/26/96	Garcia Tinoco	194	204	
	A38	5,909,502	06/01/99	Mazur	382	135	
	A39	5,909,503	06/01/99	Graves et al.	382	135	
	A40	5,938,044	08/17/99	Weggesser	209	534	
	A41	5,940,623	08/17/99	Watts et al.	395	712	
	A42	5,943,655	08/24/99	Jacobsen	705	30	
	A43	5,960,103	09/28/99	Graves et al.	382	135	
	A44	5,966,456	10/12/99	Jones et al.	382	135	
	A45	5,982,918	11/09/99	Mennie et al.	382	135	
	A46	5,992,600	11/30/99	Baudat	194	207	
	A47	6,012,564	01/11/00	Mukai	194	206	
	A48	6,026,175	02/15/00	Mukai Rateman et al.	382	135	
	A49	6,028,951	02/00	Rateman et al.	382	135	
	A50	6,039,645	03/21/00	Mazur	453	10	
	A51	6,068,194	05/00	Mazur	235	492	
	A52	6,072,896	06/00	Graves et al.	382	135	
	A53	6,074,334	06/00	Mennie et al.	493	438	
	A54	6,162,122	12/19/00	Acres et al.	463	29	
ms	A55	6,234,294	05/22/01	Defeo et al.	194	207	

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A56	6,398,000	06/02	Jenrick et al	194	200	
A57	6,459,806	10/01/02	Rateman et al.	382	135	
A58	6,460,705	10/08/02	Hallowell	209	534	
A59	6,493,461	12/10/02	Mennie et al.	382	135	
A60	6,539,104	03/25/03	Rateman et al.	382	135	
A61	6,560,355	05/06/03	Graves et al.	382	135	

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Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
B1	DE 2 659 929			Germany			Yes (see C25 below)
B2	DE 2 760 269	07/77		Germany			Yes (see C26 below)
B3	DE 2 935 668			Germany			Yes (see C27 below)
B4	EP 0613107 A1	08/94		Europe			N/A
B5	GB 2,088,832			Great Britain			N/A
B6	GB 2,190,996			Great Britain			N/A
B7	JP 2-12492	02/90		Japan			Yes (see C30 below)
B8	JP 2-22786	01/90		Japan			Abstract Only
B9	JP 5-11355	07/93		Japan			Abstract Only
B10	JP 54-60999	06/79		Japan			Yes (see C31 below)
B11	JP 55-52538	12/80		Japan			Yes (see C32 below)
B12	JP 58-139296	08/83		Japan			Abstract Only
B13	JP 59-186079	10/84		Japan			Abstract Only
B14	JP 59-231692	12/84		Japan			Abstract Only
B15	JP 60-191379	09/85		Japan			Abstract Only
B16	JP 60-215293	11/28/85		Japan			Yes (see C37 below)
B17	JP 61-82290	04/25/86		Japan			Abstract Only
B18	JP 62-220843	09/87		Japan			Yes (see C34 below)
B19	JP 63-91794	04/88		Japan			Abstract Only
B20	JP 63-276688	11/88		Japan			Yes (see C36 below)

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